

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

## Title of Invention

An Efficient Locking Protocol for Sub-Document Concurrency  
Control Using Prefix Encoded Node Identifiers in XML Databases

Application Number :

Confirmation Number:

First Named Applicant: James Teng

Attorney Docket Number: SVL920040010US1

Art Unit:

Examiner:

Search string: ( 5485607 or 5983225 or 6411964 or 6606626 or 20030210709 or 20030177443 or  
20030131167 or 20030105756 or 20020165870 or 20010032281 ).pn

## US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5485607	1996-01-16	Lomet et al.		395	600
	2	5983225	1999-11-09	Anfindsen		707	8
	3	6411964	2002-06-25	Iyer et al.	B1	707	200
	4	6606626	2003-08-12	Ponnekanti	B1	707	8

## US Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Published Applications**

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20030210709	2003-11-13	Inoue	A1	370	469
	2	20030177443	2003-09-18	Schnelle et al.	A1	715	513
	3	20030131167	2003-07-10	Rankin et al.	A1	710	104
	4	20030105756	2003-06-05	Daynes	A1	707	8
	5	20020165870	2002-11-07	Chakraborty et al.	A1	707	206
	6	20010032281	2001-10-18	Daynes	A1	710	200

## Signature

Examiner Name

Date